ADVISORY CIRCULAR AC-01-002

INTRODUCTION TO THE BAHAMAS AVIATION SAFETY REGULATION

Section 1 General

1.1 Purpose

This Advisory Circular (AC) is issued to provide general information regarding the consolidation and use of the Bahamas Aviation Safety Regulation (BASR).

1.2 STATUS OF THIS ADVISORY CIRCULAR

This is revision [B] of this AC.

1.3 BACKGROUND

- A. The Bahamas is a signatory to the ICAO Convention (1944) that provides the basis for international operations of aircraft. This Convention provides for the issuance of desired aviation standards as "Annexes."
- B. These Annexes prescribe the Standards and Recommended Practices (SARPs) that should be adopted as regulatory requirements to ensure the recognition and reciprocity between the ICAO Contracting States.
- C. Each State is expected to include these SARPs in their aviation regulations and advisory documents. the Bahamas has consolidated those SARPs which apply to aviation organizations and operators and their personnel into the Bahamas Aviation Regulations.
- D. This AC provides a discussion of those regulatory requirements and their relationships as included in the consolidated body of the Bahamas aviation regulations.

1.4 APPLICABILITY

This AC is applicable to all individuals, operators, organizations and other aviation entities desiring operate or maintain aircraft in the Bahamas.

1.5 RELATED REGULATIONS

The general concept, relationship and use of the Bahamas Aviation Safety Regulation are addressed in AC.

- Advisory Circulars are intended to priovide advice and guidance to illustrate a means, but not necessarily the only means, of
 complying with the Regulations, or to explain certain regulatory requirements by providing informative, interpretative and
 explanatory material.
- Where an AC is referred to in a 'Note' below the regulation, the AC remains as guidance material,
- ACs should always be read in conjunction with the referenced regulations.

1.6 RELATED PUBLICATIONS

For further information on this topic, individuals, organizations and other entities are invited to consult the following Annexes of the International Civil Aviation Organization (ICAO)—

Copies may be obtained from Document Sales Unit, ICAO, 999 University Street, Montreal, Quebec, Canada H3C 5H7.

- Annex 1, Personnel Licensing
- Annex 2. Rules of the Air
- Annex 6, Operation of Aircraft, Part I: International Commercial Air Transport Aeroplanes
- Annex 6, Operation of Aircraft, Part II, International General Aviation Aeroplanes
- Annex 6, Operation of Aircraft, Part III, International Operations Helicopters
- Annex 7, Registration of Aircraft
- Annex 8. Airworthiness of Aircraft
- Annex 13, Accident and Incident Investigation
- Annex 18, The Safe Transport of Dangerous Goods by Air

1.7 DEFINITIONS & ACRONYMS

1.7.1 **DEFINITIONS**

- A. The following definitions are used in this advisory circular—
 - 1) **Annexes**. Documents issued by ICAO that prescribe the Standards and Recommended Practices applicable to international aviation operations.
 - 2) **Contracting States**. States that are signatories to the "ICAO Convention" indicating that they will implement the requirements of the Convention and the Annexes.
 - 3) **ICAO Convention**. The document that was executed following the 1944 convention that established the ICAO.

1.7.2 ACRONYMS

The following acronyms are used in this manual—

- AIP Aeronautical Information Publication
- 2) AME Aviation Medical Examiner
- 3) **AOC** Air Operator Certificate
- 4) BASR Bahamas Aviation Safety Regulation
- 5) BCAD Bahamas Civil Aviation Department
- 6) ETOPS Extended Range Operations of Twin-Engined Aircraft
- 7) FSI Flight Standards Inspectorate
- 8) GA General Aviation
- 9) ICAO International Civil Aviation Organization
- PBN Performance Based Navigation
- 11) SARPs ICAO Standards and Recommended Practises
- 12) **RVSM** Reduced Vertical Separation Minimums

Section 2 General Concepts of These Regulations

The Bahamas Aviation Regulations are—

- ICAO-based
- Edited to assign personal responsibility for compliance with applicable requirements.
- Edited to avoid the excess repetition of the same requirement found in multiple locations in the AnneXes.
- Edited to include additional requirements that were determined to be relevant international requirements.
- Developed as a consolidated body of regulations applicable to flight safety standards.
- Organized into "books" to group the requirements pertinent to specific technical users

2.1 ICAO-BASED

- A. The BASR is said to be "ICAO-based" because the foundation of this legislation and its Schedules are the ICAO Annexes.
- B. When developing the Schedules of BASR, the specific words of the ICAO Annexes were inserted as the foundation for the requirements.
 - Parallel (essentially the same) wording was used where possible to ensure that the requirements address all requirements of the applicable SARPs.

Exceptions to this editing policy are outlined in paragraphs 2.2 through 2.4.

- A determination was made as to whether the pertinent SARP could be implemented in within the ICAO-specified period. If it could not be implemented in the period specified by ICAO, a difference is reported and included in the AIP and in the ICAO EFOD database..
- C. The underlying concept is that as an ICAO Contracting State, the Bahamas will adopt those Standards and Recommended Practices that are adopted by ICAO.
- D. Where the Bahamas determines that a longer transition period is necessary for a specific grouping of operators or an individual, the Bahamas will publish an

Issuance of an exemption will require the reporting of the difference to ICAO.

exemption (individual) or deviation (group) to accommodate that transition. Such an exemption or deviation will be reported to ICAO as a SARP difference.

2.2 Editing to Assign Personal Responsibility

- A. Many ICAO SARPS are worded impersonally. That is, the wording of the SARP does not assign responsibility.
 - For example, an impersonal wording may be "The aircraft will not fly over a congested area below 1000 feet above the surface."
 - in this example, it is obvious that the aircraft does not, on its own, fly over the congested area.
 - It is the pilot or pilots who are causing the aircraft to fly over the congested area.
 - The operator or the person exercising operational control may have directed the pilots to fly over the congested area.
- B. Where the applicable ICAO SARP does not assign specific responsibility, the wording of the parallel regulation was edited to assign that responsibility.
 - For example, an assignment of personal responsibility would be to edit the wording, to whit "No person may fly an aircraft over a congested area below 1000 feet above the surface.
 - While this may seem like a small change, the subsequent enforcement when a pilot does not comply with the requirement is made easier by replacing vague, ambiguous or impersonal wording.

2.3 EDITING TO AVOID DUPLICATION OF REQUIREMENTS

- A. During the development of the BASR, special attention was given to avoiding repetitive duplication of requirements that are found both in the ICAO Standards and in other States' regulations.
 - Example #1: Some ICAO flight planning requirements appear verbatim in 5 different locations in the various parts of Annex 6.
 - Example #2: Some ICAO instrument and equipment requirements also appear in 5 different locations in the various parts of Annex 6

In the BASR, these requirements appear only once, avoiding large amounts of words and repetitive text.

- B. Preventing this duplication is a editing goal of the BASR which requires continuingly diligence to ensure that users are not confused by these types of duplicated requirements.
 - The only deliberate deviation from this policy are in the first Subparts of those regulations pertaining to specific types of organizations.
 - Here, restatement was necessary to ensure that the management of these specific types of organizations understand the certification requirements specific to their organization and incorporate small differences of requirements between the different types of organizations.

2.4 Relevant International Aviation Safety Requirements

- A. The BASR incorporate the ICAO-SARPS in order to meet the international standards specified for Contracting States.
- B. But, during the development of the BASR, the relevant international standards that had not yet been incorporated in the ICAO-SARPS were also considered for inclusion.
- C. These relevant international standards that were considered to be necessary to ensure that BASR were comprehensive, yet not overly detailed or restrictive, were adopted. For example—
 - The BASR requirement for a period of supervised line flying prior to having pilots assume unrestricted duties in their assigned duty station is not an ICAO-SARP. It is, however, a relevant safety standard incorporated in the FAA and EASA aviation regulations.
 - The BASR include equipment requirements regarding public address systems, interphone systems
 and portable breathing apparatus that are clearly required in modern passenger aircraft, but have
 not yet been adopted as ICAO-SARPS.
- D. From the opposite perspective, the BASR do not contain very detailed requirements that are included in other States' regulations that—
 - 1) Are not an ICAO-SARP;
 - 2) Would be subject to further refinement as international safety practices evolve. and
- In these situations, the BCAD has decided to publish detailed quidance as—
- An advisory circular, if there may be more than one method that will meet the desired level of safety, or
- A technical directive, if a specific method of compliance is considered necessary.

3) Have not yet "matured" as an relevant international safety requirement.

2.5 Consolidated "Body" of Safety Requirements

A. The BASR with its Schedules as a consolidated set of regulatory requirements that provide the safety requirements for the spectrum of aircraft, personnel and operators that are in the Bahamas now and those expected in the future.

- B. They are grouped by technical subjects in sequence for easier use. For example—
 - Those regulations relating to groupings, such as airworthiness, personnel licensing and operations
 of aircraft, are in separate, sequenced groups and arranged numerically in those groups.

2.6 Organized into "Books" by Technical Speciality

A. The BASR is also organized so that the requirements are located in Schedules that are essentially "books" for the users. For example—

This concept is more specifically outlined in Section 3 of this AC.

- The primary requirements for maintenance personnel are located in Schedule 5.
- The primary requirements for management of an approved maintenance organization are located in Schedule 6.
- The primary requirements that apply to all operations of aircraft by pilots are located in Schedule 10.
- The primary requirements for management of an air operator are located in Schedule 12.
- The primary requirements for cabin crew members are located in Schedule 13.
- The primary requirements for managers of training and instructors are located in Schedule 14.
- B. These "books" for technical users make it possible for them to easily consult the regulations that apply to their technical specialities and organizations.

Section 3 Applicable Requirements vs Targeted Users

The following table outlines the separation of purpose and audience employed in the BASR—

Schedule #	TARGETED USERS	PURPOSE
Schedule 1 General Policies, Procedures & Definitions	• All Users	This Schedule addresses concepts that apply across the body of regulations that are the BASR, prescribing requirements for— Formatting Definitions applicable to all BASR Enforcement of the BASR Exemptions to the BASR Amendment to the BARS Use of validation by the BCAD Use of designees by the BCAD This Schedule contains the ICAO definitions and abbreviations included in the applicable Annexes.
Schedule 2 Penalties	All Users	This Schedule applies only to penalties that may be assessed for infraction of the requirements of the BASR.
Schedule 3 Registration of Aircraft	Aircraft Owners	This Schedule applies only to registration of aircraft, prescribing requirements for—. • Eligibility for Certificates of Registration • Issuance of Certificates of Registration • BCAD registry responsibilities • Marking of aircraft • This Schedule is closely aligned with ICAO Annex 7, Registration of Aircraft.

Schedule 4 Aircraft & Component Original Certification	 Maintenance Personnel Aeronautical Engineers Aircraft Owners 	This Schedule applies to the establishment of the conformance of an aircraft with original type design, prescribing the requirements for— • Validation of a Type Certificate • Validation of a Supplemental Type Certificate • Relationships with other ICAO Contracting States on matters of airworthiness • Conformance with international aircraft design standards through validation. • This Schedule is closely aligned with ICAO Annex 8, Airworthiness of Aircraft.
Schedule 5 Continuing Airworthiness of Aircraft	 Maintenance Personnel AMO Management 	This Schedule is intended as the primary "book" for performance of maintenance by aviation maintenance personnel, prescribing the requirements for— • Eligibility and issuance of Certificates of Airworthiness • Performance standards for maintenance • Eligibility to perform maintenance and repair • Major repair and modifications • Eligibility and issuance of maintenance releases • Privileges of maintenance-related licences • This Schedule addresses those requirements of Annex 8 not addressed in Schedule 3
Schedule 6 Approved Maintenance Organizations	 AMO Management AMO Employees 	 This Schedule is intended as the primary "book" for the certification and administration of approved maintenance organizations. prescribing the requirements for— Applicability and approvals for maintenance organizations Content, issuance and amendment of a maintenance approval. Management, records, facilities, equipment, tools and technical data Qualification, training and assignment of maintenance personnel This Schedule contains the SARPs of Annex 6, Parts I. Il and III pertaining to maintenance organization approvals.
Schedule 7 Required Instruments and Equipment	 Maintenance Personnel Flight Crew Members Cabin Crew Members AMO Management AOC Management 	This Schedule is intended as a primary "reference book" for determining the instruments and equipment that must be installed on the aircraft for specific operations, including— • Visual flight operations • Instrument flight operations • High altitude operations • Passenger operations • Special operations, such as ETDO, AWO, RVSM, PBN • This Schedule consolidates the instrument and equipment SARPs of Annex 6, Parts 1, II, and III. • This Schedule also contains requirements that are not yet SARPs, but are considered to be relevant safety practises in international aviation.

Schedule 8 Personnel Licensing	 Aviation Personnel Aviation Instructors ATO Management Medical Examiners Flight Crew Members Air Traffic Controllers 	 This Schedule is intended as a "book" for the personnel licensing, prescribing the requirements for— Establishing minimum eligibility requirements, such as age, experience The specific licenses that may be issued through validation, conversion or completion of knowledge and skill evaluations The ratings, endorsements and limitations of those licenses Language proficiency Medical evaluations of aviation personnel This Schedule addresses the ICAO Annex 1 SARPS for obtaining a licence or additional rating on that license and medical evaluations. The Annex 1 SARPS for flight crew continuing recency and proficiency requirements and medical validity periods are included in Schedule 10 and 14.
Schedule 9 Approved Training Organizations	ATO ManagementATO Employees	 This Schedule is intended as the primary "book" for the certification and administration of approved training organizations. prescribing the requirements for— Applicability and approvals for training organizations Content, issuance and amendment of a training approval. Management, records, facilities, equipment, aircraft and flight simulation Qualification, training and assignment of training instructor and evaluator personnel This Schedule contains the SARPs of Annex 1 and 6 pertaining to training organization approvals.
Schedule 10 Operations of Aircraft	 Flight Crew Members Flight Dispatchers Air Traffic Controllers AOC Management 	This Schedule is intended as the primary 'book" for the flight crews conduct of flights, prescribing the requirements for— General aircraft operations Flight crew responsibilities Flight crew continuing recency and proficiency qualifications General passenger-carrying requirements Flight planning and preparation Flight planning and preparation Flight rules regarding all aircraft Flight rules for controlled flight Flight rules for visual flights Flight rules for visual flights Flight rules for instrument flights General aviation large and/or turbine aircraft operations General aviation corporate fleet operators This Schedule addresses SARPS from Annex 1, 2 and 6 pertaining specifically to the conduct of flight operations. This Schedule includes the primary requirements that ALL pilots should be aware of (except the minimum instruments and equipment of Schedule 6.
Schedule 11 Aerial Work	 Aerial Work Management Aerial Work Flight Crews 	This Schedule is intended as the primary "book" for the certification and administration of aerial work operators. prescribing the requirements for— • Applicability and approvals • Content, issuance and amendment of approvals • Management, records, facilities, equipment and aircraft • Qualification, training and experience of aerial work crews • Special operating rules for certain operations. • This Schedule contains international requirements found pertinent to aerial work operators.

Schedule 12 Air Operator Certification and Administration	 AOC Management AOC Employees 	This Schedule is intended as the primary "book" for the certification and administration of air operators engaged in commercial air transport. prescribing the requirements for— • Applicability and approvals • Content, issuance and amendment of approval. • Management, manuals records, facilities, equipment, aircraft and flight simulation • Operations administration • Maintenance administration • This Schedule contains the SARPs of Annex 6 pertaining to commercial air transport.
Schedule 13 Passenger-Carrying Requirements	 Cabin Crew Members AOC Management Operators of turbine and large aircraft 	This Schedule is intended as the primary 'book" for operators and cabin crew members engaged in passenger-carrying, prescribing the requirements for— Numbers and location of cabin crew members Passenger information Boarding of passengers and carry-on baggage Location of passengers and bags during takeoff and landing Carriage of cargo in the cabin. This Schedule addresses Annex 6 requirements pertaining to passenger-carrying in aircraft configured for 20 or more passengers. Required instruments and equipment are prescribed in Schedule 6.
Schedule 14 AOC Personnel Qualification	 Flight Instructors Check Airmen Flight Crew Members Cabin Crew Members Flight Dispatchers AOC Management 	This Schedule is intended as the primary 'book" for training and qualification of AOC personnel (flight and cabin crew members and flight dispatchers), prescribing the requirements for— • Minimum experience • Basic qualifications • Initial ground training • Proficiency and competency checks • Supervised line experience • Recurrent training • Route qualification • Instructor and check airmen qualification • This Schedule addresses Annex 1 and 6 pertaining to qualification, training and checking of AOC personnel for commercial air transport operations.
Schedule 15 AOC Fatigue Management	 Flight Crew Members Cabin Crew Members AOC Management 	This Schedule is intended to be a "book" for AOC flight and cabin crew members and persons scheduling them for duty in flight, prescribing the requirements for— • Maximum duty times • Flight duty periods • Minimum rest periods • Completion of FTL records. • This Schedule addresses Annex 6 SARPS pertaining to flight time limitations.
Schedule 16 Operational Control	 Flight Dispatchers Flight Crew Members AOC Management 	This Schedule is intended to be the primary "book" of requirements for flight dispatchers and other persons exercising operational control, prescribing the requirements for— Operational control policies and assignments Responsibilities of personnel exercising operational control Specific operational control methodology depending of operational factors Privileges and responsibilities of flight dispatchers This Schedule addresses Annex 6 SARPS pertaining to operational control.

Schedule 17 Mass and Balance and Performance	 AOC Loading Personnel AOC Management Flight Crew Members 	This Schedule is intended to be a "reference book" for personnel involved in the computation of mass and balance and performance for specific operators, prescribing the requirements for— • Weighing of aircraft • Computing the mass and balance of an aircraft • General factors affecting aircraft performance • Determination of the impact of obstacles during flight • Computing the performance of aeroplanes • Computing the performance of helicopters • This Schedule addresses Annex 6 SARPS applicable to mass and balance and performance of certain operators.
Schedule 18 Safe Transport of Dangerous Goods by Air	 AOC Management Flight Crew Members AOC Employees Shippers 	This Schedule is intended to be the "book" for persons involved in the shipment of dangerous goods and their carriage by air, prescribing the requirements for— • Determination of dangerous goods • Packaging and marking of dangerous goods • Determination of acceptability of dangerous goods • Proper handling and storage of dangerous good • Documentation and notifications • Training and qualification of personnel • This Schedule addresses the Annex 6 and 18 SARPS pertaining to the safe transport of dangerous goods by air.
Schedule 19 Aircraft Accident and Incident Reporting and Investigation	 Flight Crew Members AOC Management Accident Investigation Personnel 	This Schedule is intended to be the "book" for persons for reporting of aircraft incidents and accidents and their subsequent investigation, prescribing requirements for— Reporting of incidents and accidents Duties and responsibilities of the Investigator-in-charge Duties and responsibilities of the State of the Accident Duties, responsibilities and rights of other States Participation in the investigation Types of reports that are required. This Schedule address the ICAO Annex 13 SARPS pertaining to accident and incident investigation.
Schedule 20 Foreign Operators	 Foreign Operator Managements Foreign Operator personnel 	This Schedule is intended to be the "book" for foreign operators, prescribing requirements for— Foreign general aviation operators, including business aircraft Foreign air operators, including scheduled and unscheduled flights This Schedule addresses the ICAO Annex 6 SARPS pertaining to foreign operators.
Part 21 Aerodrome Operators	Aerodrome Operators	This Schedule is intended to be the "book" for the certification and administration of an aerodrome operators, prescribing requirements for— • Domestic aerodrome operators.

End of Advisory Circular

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10