

**THE COMPLIANCE COMMISSION**

**APPLICATION FOR LETTER OF APPOINTMENT**

*FINANCIAL TRANSACTIONS REPORTING ACT CH. 368 (SECTION 43(B))*

**Instructions**

1. This form should be completed by an accountant/accounting firm wishing to conduct anti-money laundering on-site examinations on behalf of the Compliance Commission (the Commission).

**NOTE - ALL ACCOUNTANTS WHO WISH TO CONDUCT ANTI-MONEY LAUNDERING (AML) ON-SITE EXAMINATIONS (OSE) ON BEHALF OF THE COMMISSION ARE REQUIRED TO PARTICIPATE IN AML OSE TRAINING EACH YEAR.**

2. AML OSE Training that qualifies includes training conducted and hosted by the Commission and/or an event hosted by another agency during which the Commission facilitated an AML Session.
3. Only AML OSE Training received by accountants who are licensed by the Bahamas Institute of Chartered Accountants (Licensed Public Accountants) or the accounting firm's training officer should be listed. If a Licensed Public Accountant or Training Officer has participated in/or attended more than one AML OSE Training during the previous year, please list the most recent training.
4. Any training certificates (or other relevant documents) received should be attached to this form when submitted to verify participation in the training listed.

**New Application**

**Renewal Application**

**Name/Firm:** .....

**Street Address:** .....

**Postal Address:** ..... **Email Address:** .....

**Telephone #:** ..... **Fax #:** .....

**Name of Licensed Public Accountant(s) Employed with the Firm**

(Please indicate each accountant's Bahamas Institute of Chartered Accountants licence #)

<u>Name</u>	<u>Licence #</u>	<u>Name</u>	<u>Licence #</u>
1. ....	.....	7. ....	.....
2. ....	.....	8. ....	.....
3. ....	.....	9. ....	.....
4. ....	.....	10. ....	.....
5. ....	.....	11. ....	.....
6. ....	.....	12. ....	.....

**Anti-Money Laundering Examination Training**

Please list below all AML Examination Training each licensed accountant or training officer has had during the previous year.

	<u>Name</u>	<u>Training Received</u>	<u>Date</u>
1.	.....	.....	.....
2.	.....	.....	.....
4.	.....	.....	.....
5.	.....	.....	.....
6.	.....	.....	.....
7.	.....	.....	.....
8.	.....	.....	.....
9.	.....	.....	.....
10.	.....	.....	.....

I/we apply for Letter of Appointment to conduct anti-money laundering on-site examinations on behalf of The Compliance Commission for the \_\_\_\_ calendar year.

**Name of Person Authorized to apply on the Firm's Behalf:** ..... **Position:** .....

**Signature:** ..... **Date:** .....

Return Form to:

**The Compliance Commission**  
2nd Floor Charlotte House  
Charlotte and Shirley Streets  
P.O. Box N-3017  
Nassau, Bahamas  
**Ph.: (242)397-4198, Fax: (242)322-6968**  
**E-mail: [compliance@bahamas.gov.bs](mailto:compliance@bahamas.gov.bs)**